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# Examining Natural Attenuation and Acute Toxicity of Petroleum-**Derived Dissolved Organic Matter with Optical Spectroscopy**

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Supporting Information

ABSTRACT: Groundwater samples containing petroleumderived dissolved organic matter (DOM<sub>HC</sub>) originating from the north oil body within the National Crude Oil Spill Fate and Natural Attenuation Research Site near Bemidji, MN, USA were analyzed by optical spectroscopic techniques (i.e., absorbance and fluorescence) to assess relationships that can be used to examine natural attenuation and toxicity of  $DOM_{HC}$ in contaminated groundwater. A strong correlation between the concentration of dissolved organic carbon (DOC) and absorbance at 254 nm  $(a_{254})$  along a transect of the DOM<sub>HC</sub> plume indicates that  $a_{254}$  can be used to quantitatively assess natural attenuation of DOM<sub>HC</sub>. Fluorescence components, identified by parallel factor (PARAFAC) analysis, show that the



composition of the DOM<sub>HC</sub> beneath and adjacent to the oil body is dominated by aliphatic, low O/C compounds ("protein-like" fluorescence) and that the composition gradually evolves to aromatic, high O/C compounds ("humic-/fulvic-like" fluorescence) as a function of distance downgradient from the oil body. Finally, a direct, positive correlation between optical properties and Microtox acute toxicity assays demonstrates the utility of these combined techniques in assessing the spatial and temporal natural attenuation and toxicity of the  $DOM_{HC}$  in petroleum-impacted groundwater systems.

# 1. INTRODUCTION

Crude oil is a complex mixture of naturally occurring organic matter predominately composed of hydrocarbons (HC), with minor contributions from nitrogen, sulfur, oxygen, and trace metals. Once released to the surface from the Earth's interior, oxygenation by microbial and photochemical processes results in the formation of oxyhydrocarbons (HC<sub>oxy</sub>).<sup>1</sup> Though photochemical degradation processes produce HCoxy in oxic environments by reacting with aromatic compounds that absorb light in the solar spectrum, microbes can also transform aliphatic and light aromatic fractions to HC<sub>oxy</sub> by both aerobic and anaerobic degradation processes.<sup>2-6</sup> Changes in solubility that coincide with the transformation of HC to HC<sub>oxy</sub>, by both photochemical and microbial processes, can result in the production of petroleum-derived dissolved organic matter  $(DOM_{HC})$ .<sup>7-9</sup> Potentially toxic HC<sub>oxy</sub> may be distributed throughout aquatic environments once mobilized by dissolution, impacting aquatic ecosystems and drinking water supplies.<sup>10-12</sup> The development of cost-efficient and robust methods to examine the composition and movement of DOM<sub>HC</sub> is critical for determining how these compounds migrate and their ultimate fate in the environment.

The quantity of dissolved organic carbon (DOC) and quality of dissolved organic matter (DOM) can be investigated by analyzing the properties of chromophoric dissolved organic matter (CDOM), the light absorbing fraction of DOM, via optical measurements (i.e., absorbance and fluorescence spectroscopy).<sup>13-19</sup> Relationships between CDOM parameters and DOM molecular weight, aromaticity, source, and reactivity have been extensively documented in recent years.<sup>20-28</sup> In addition, fluorescence components, identified by parallel factor (PARAFAC) analysis of excitation-emission matrices (EEMs), can provide detailed information about DOM quality.<sup>29-33</sup> Although studies report the properties of petroleum-derived  $CDOM (CDOM_{HC})$  in marine and groundwater systems, little

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is known about how changes in  $\rm CDOM_{HC}$  correlate with  $\rm DOM_{HC}$  concentration, natural attenuation, biodegradation, and toxicity.  $^{34-39}$ 

Aquatic ecosystems are particularly susceptible to contamination from DOM<sub>HC</sub> that can be readily transported through water movement (e.g., in fluvial networks and between groundwater and surface waters). Tracking the migration, concentration, chemical composition, and potential toxicity of these complex mixtures is critical for making both short- and long-term spill-response decisions. Postspill, long-term observations are required to determine the fate of the oil, and degradation products, in the surrounding environment. Optical spectroscopy has been utilized for many years to detect and monitor oil in aquatic ecosystems. Applications include estimating petroleum residue concentrations, fingerprinting of chemically dispersed oil in water, detecting and monitoring of surface oil slicks, determining oil droplet sizes, and estimating the concentration of benzene, toluene, ethylbenzene, and xylene (BTEX) and total petroleum hydrocarbons (TPH).<sup>4</sup> This study investigates the utility of optical spectroscopic measurements for the detection and examination of CDOM<sub>HC</sub> in DOM<sub>HC</sub> impacted groundwater. The work builds on previous characterization of DOM and oil spill detection and monitoring by showing the application of optical spectroscopy to (1) measure rates of natural attenuation of  $DOM_{HC}$  in a groundwater plume; (2) determine changes in chemical composition of  $DOM_{HC}$  from biodegradation; and (3) characterize relationships between chemical composition of  $DOM_{HC}$  and acute toxicity. We hypothesized that, although the composition of CDOM<sub>HC</sub> becomes increasingly similar to that observed in the native groundwater because of biodegradation, the CDOM<sub>HC</sub> will retain a unique optical signature detectable in groundwater hundreds of meters from the petroleum source. Moreover, we hypothesized that specific components of CDOM<sub>HC</sub> are potential indicators of toxic DOM<sub>HC</sub> mixtures in aquatic environments. We tested these hypotheses by measuring the optical properties and screening acute toxicity of a DOM<sub>HC</sub> plume origination from a subsurface oil body that has been present in an aquifer for nearly 40 years.

## 2. MATERIALS AND METHODS

2.1. Site Description and Sample Collection. An oil pipeline rupture in 1979 located outside the city of Bemidji, MN (USA) sprayed approximately 1.7 million L of light (33° API) crude oil across an area of 6500 m<sup>2</sup>.<sup>7</sup> The spilled oil contained 0.56% sulfur and 0.28% nitrogen with a composition of 58-61% saturated hydrocarbons, 33-35% aromatics, 4-6% resins, and 1-2% ashphaltenes.<sup>46</sup> The oil subsequently collected into depressions and approximately 25% of the unrecovered oil percolated through silt, sand, gravel, and glacial till to form three residual oil bodies at the water table of the underlying aquifer.<sup>9</sup> Samples from 32 wells were collected in the summer of 2016 from the north oil pool where the water table is 6-8 m below the land surface and the groundwater flows east-northeast at an average velocity of 22 m yr<sup>-1</sup> toward the Unnamed Lake.<sup>7</sup> Detailed maps and descriptions of the sample site are elsewhere.<sup>7,9,46–48</sup> Each well was purged with at least three-well volumes and samples were not collected until field measurements of pH, dissolved oxygen, temperature, and specific conductance stabilized. A database of the field measurements can be found at https://mn.water.usgs.gov/ projects/bemidji/. The terms natural attenuation and biodegradation are used interchangeably from this point forward because biodegradation is the main process at the site.

**2.2. Dissolved Organic Carbon (DOC) Analyses.** Each water sample was filtered through a precleaned 0.2  $\mu$ m Supor filter into a precombusted (550 °C > 5 h) amber glass vial. The pH of each sample was immediately adjusted with hydrochloric acid to pH < 2 and samples were stored in the dark and refrigerated (<4 °C) until subsequent DOC analysis. Dissolved organic carbon measurements were completed by the high temperature combustion technique with a Shimadzu TOC Vcsn analyzer using previously described methods.<sup>9,49</sup> DOC data are the mean of three to six replicate injections for which the coefficient of variance was less than 2%. In this manuscript, the term DOC is equivalent to nonvolatile dissolved organic carbon (NVDOC) used in previous studies at the Bemidji site.<sup>9,46–48</sup>

2.3. Optical Analyses. Each sample was filtered through a precombusted (450 °C > 5 h) Advantec GF-75 0.3  $\mu$ m glass fiber-filter prior to pH adjustment (pH 8) for absorbance and fluorescence measurements with an Aqualog fluorometer (Horiba Scientific, Kyoto, Japan).<sup>50–52</sup> Measurements were completed in a 10 mm quartz cuvette at a constant temperature of 20 °C. Absorbance and excitation scans were collected from 240-800 nm in 5 nm increments with an integration period of 0.5 s. Milli-Q water (18.2  $M\Omega~cm^{-1})$  was used to dilute each sample to an absorbance of 0.1 at 254 nm to reduce inner filter effects.<sup>23,53</sup> Spectra were blank subtracted and corrected for instrument bias in excitation and emission prior to correction for inner filter effects. Fluorescence intensities were normalized to Raman scattering units and dilution corrected prior to Parallel Factor (PARAFAC) analysis. PARAFAC is a multiway data analysis method that is used to decompose fluorescence excitation-emission matrix (EEM) spectra into underlying spectral properties.<sup>54</sup> PARAFAC of EEM spectra obtained for 129  $\text{DOM}_{\text{HC}}$  were used to develop the model with the drEEM toolbox (tutorial and MatLab code).54 With the exception of the background wells (310 B and 310 E) all of the samples used for the PARAFAC model contained DOM<sub>HC</sub>. The spectral properties for each of the six components were examined and then validated by residual and split-half analysis.55,56 The relative contributions of C1-C6 were determined by summing the model scores. Figures 1 and S1 show the spectral properties for components 1-6. The components from this PARAFAC model were matched to others reported in the OpenFluor database that have a Tucker's congruence coefficient threshold of 0.95 for an identical match between spectra.<sup>57</sup> The humification index (HIX) was determined by the area under the emission spectra between 435 and 480 nm divided by the sum of the peak areas from 300 to 345 nm and 435 to 480 nm.<sup>23</sup>

**2.4. Microtox Screening.** The water samples were assessed for acute toxicity (N = 30; Microtox, Modern Water, Guildford, UK). This *Vibrio fischeri* bioluminescence inhibition assay was selected because its effect concentrations are correlated to other aquatic toxicity end points,<sup>58</sup> and it is suitable for toxic equivalency evaluation of complex environmental samples including groundwater where it has been shown to correlate well with the in vivo *Daphnia magna* toxicity assays.<sup>59</sup> Raw, unfiltered water samples were first allowed to settle; the resulting supernatant was transferred to a glass vial, enriched with the osmotic adjusting solution (sodium chloride solution that brings salinity of the samples to approximately 2%), and analyzed within minutes of the sample collection. Light loss or



Figure 1. Six components obtained from the validated PARAFAC model (top). Principal component analysis (PCA) of the values obtained by optical measurements of the  $\text{DOM}_{\text{HC}}$  at the Bemidji Site (bottom).

gain in the samples relative to a control sample (reagent blank provided by the manufacturer) was calculated. All measurements and data analyses were performed following manufacturer's protocols for B-Tox Test procedure using Deltatox II photometer (Modern Water).

#### 3. RESULTS AND DISCUSSION

3.1. Degradation of Petroleum-Derived Dissolved Organic Carbon. The north oil pool at the Bemidji site is a source of carbon for the DOC plume that extends in excess of 325 m downgradient prior to reaching the shore of the Unnamed Lake.9 The DOC concentrations measured at each sampled well versus the distance from the center of the oil body are shown in Figure 2a and Table S1. Well 518A, the well nearest to the center of the oil body (~57 m), contains groundwater with a DOC concentration of 31.1 mg  $L^{-1}$ . Approximately 200 m downgradient from well 518A, the DOC concentration is over an order of magnitude less (Figure 2a). This exponential decay of DOC concentration (measured in each well that transects the plume originating from the north oil body) shows the natural attenuation of petroleum-derived DOC  $(DOC_{HC})$  at the Bemidji site and corroborates previous reports of a first-order degradation rate of  $\sim 0.13\%$  d<sup>-1.60</sup> Equation 1 describes the natural attenuation of  $DOC_{HC}$ originating from the north oil pool in this study. Here, y is the modeled  $DOC_{HC}$  concentration at a given time. The  $y_0$ term is the predicted stable  $DOC_{HC}$  concentration. The value calculated for the A term describes the initial DOC<sub>HC</sub> concentration. Finally,  $\tau$  is a time constant and x is the residence time of the  $DOC_{HC}$  in the aquifer. The value for each term is determined by utilizing the known distance from the center of the oil body for each well in combination with the mean flow velocity of the groundwater in the aquifer of 0.06 m  $d^{-1}$  (21.9 m yr<sup>-1</sup>).<sup>7,61</sup>

$$y = y_0 + A e^{x/\tau} \tag{1}$$

The ability to fit the natural attenuation of  $\text{DOC}_{\text{HC}}$  to an exponential decay curve provides a wealth of information



**Figure 2.** (a) DOC concentration and, (b)  $a_{254}$  values as a function of distance from the oil body, (c) correlation between DOC concentration and  $a_{254}$ .

pertaining to its fate and persistence in the environment even before transformations in chemical composition are determined. The model of natural attenuation at the Bemidji site predicts that the stable  $DOC_{HC}$  concentration ( $y_0$ ) will be 1.14  $\pm$  1.55 mg L<sup>-1</sup>. This predicted value is highly comparable to DOC concentrations measured in background wells 310B and 310E (1.66 and 1.27 mg  $L^{-1}$  respectively). Although comparable DOC concentrations suggest ~100% mineralization of  $\text{DOC}_{\text{HC}}$  over time and that DOC concentrations in the groundwater can return to background levels because of natural attenuation processes, section 3.2 will describe how the composition of the pool of petroleum-derived dissolved organic matter  $(DOM_{HC})$  after natural attenuation is different from the dissolved organic matter (DOM) in the native groundwater. Moreover, eq 1 provides a relative natural attenuation rate of the DOC<sub>HC</sub> of ~0.11% d<sup>-1</sup> (40% yr<sup>-1</sup>), which is in general agreement with previous reports.<sup>60</sup> In addition to predicting the final DOC<sub>HC</sub> concentration and the relative rate of natural attenuation, the model provides the time (distance from the source) at which the natural attenuation process stops. This information in combination with the composition and acute toxicity of the DOM<sub>HC</sub> is critical for understanding the true impacts of a spill, assessing the mobilization of petroleum into the surrounding ecosystem, and assessing its potential impacts and fate. Below we highlight methodology to obtain the data required to develop these models with relatively inexpensive,



Figure 3. Contour plots showing changes in (a)  $a_{254}$ , (b) HIX, % relative contribution of PARAFAC components (c) C1, (d) C2, (e) C4, (f) C5, (g) C6, and (h) % bioluminescence inhibition (acutely toxic)/stimulation (not acutely toxic).

rapid and robust optical techniques that can provide high spatial and temporal resolution measurements to determine the composition and potential toxicity of  $\rm DOM_{HC}$  in groundwater systems.

3.2. Assessing Natural Attenuation with Optical **Spectroscopy.** A suite of parameters and indices from optical spectroscopy measurements were examined to assess transformations in the composition of  $\text{DOM}_{\text{HC}}$  originating from the north oil pool due to natural attenuation processes (Figure 3). Absorbance at 254 nm  $(a_{254})$ , humification index (HIX) and the relative contribution of six PARAFAC components (C1-6)were determined for the DOM<sub>HC</sub> sampled from each well. Three dimensional color contour plots of these values measured for the DOM<sub>HC</sub> in the north oil plume are shown in Figure 3. Each well shown in Figure 3 (starting with those adjacent to the oil body) is denoted by (+) and is plotted based on the distance downgradient from the center of the north oil pool and elevation above sea level. The data for water samples that were in direct contact with oil are not included in Figure 3 as they distort the contour plots in some instances due to the overwhelming absorbance and fluorescence signal of the  $DOM_{HC}$  emitted directly from the oil body (Table S1). Each measured or modeled value discussed below provides valuable insight into strategies for rapid, cost-effective examination of natural attenuation at contaminated groundwater sites.

Previous studies have reported a strong positive correlation between CDOM absorbance at 254 nm ( $a_{254}$ ) and DOC concentration.<sup>17,65,66</sup> Examining  $a_{254}$  values in the wells from the north oil pool, it is apparent that the  $a_{254}$  values are highest for the CDOM<sub>HC</sub> in the groundwater directly in contact (Table S1) and adjacent to the oil body (Figure 3a). Along the centerline of the plume,  $a_{254}$  values decrease as the CDOM<sub>HC</sub> moves away from the source to a minimum value of 2.4 m<sup>-1</sup> at a distance ~211 m from the oil body (Figure 3a). Similarly, Figure 2b exhibits an exponential decrease in  $a_{254}$ , as observed for DOC<sub>HC</sub> concentration as a function of distance from the center of the oil pool. The similarity is striking when modeling  $a_{254}$  values with the same equation (eq 1) as the DOC<sub>HC</sub> data

(Figure 2). Notably, the resulting  $R^2$  value of 0.86 for  $a_{254}$  is highly comparable to the  $R^2$  value of 0.85 modeled from the  $DOC_{HC}$  data. Moreover, Figure 2c shows that there is a strong positive correlation ( $R^2 = 0.97$ ) between DOC<sub>HC</sub> concentration and  $a_{254}$  at the Bemidji site. The reason why the correlation is not linear is likely due to the change in composition from relatively aliphatic to aromatic DOM<sub>HC</sub> because of biodegradation. This correlation indicates that optical spectroscopy can be used to examine natural attenuation of the  $DOC_{HC}$  at this oil spill site. The strong relationship between  $a_{254}$  and  $\mathrm{DOC}_{\mathrm{HC}}$  highlights that the same information obtained from modeling the DOC<sub>HC</sub> data directly to quantify the stable DOC<sub>HC</sub> pool and determine relative rates of natural attenuation, may also be determined directly from solely the  $a_{254}$  data. Indeed, the final predicted  $a_{254}$  value obtained from eq 1 of the stable  $\text{CDOM}_{\text{HC}}$  pool is 1.84  $\pm$  2.24 m<sup>-1</sup>. The relationship between  $a_{254}$  and  $\mathrm{DOC}_{\mathrm{HC}}$  enables the conversion of the modeled  $a_{254}$  value to predict a final stable DOC<sub>HC</sub> concentration of 1.59 mg  $L^{-1}$ , which is comparable to the DOC concentration measured for the groundwater in both background wells. Finally, the relative rate of natural attenuation determined from  $a_{254}$  values of 0.10% d<sup>-1</sup> (35% yr<sup>-1</sup>) is similar to that determined from direct DOC measurements emphasizing the validity of using the optical absorbance data to determine natural attenuation of  $DOC_{HC}$  at the site.

Apparent humification results from mineralization of aliphatic, low MW compounds and selective preservation of aromatic, high MW compounds from biodegradation.<sup>67</sup> Discerning relative changes in the humification index (HIX) enables the examination of this microbially driven process via optical spectroscopy.<sup>23</sup> The HIX values measured in the wells transecting the DOM<sub>HC</sub> plume originating from the north oil pool show how biodegradation transforms this material, with low values representing newly formed DOM<sub>HC</sub> that is aliphatic with low oxygen content and high values representing aromatic DOM<sub>HC</sub> with high oxygen content that is selectively preserved due to biodegradation (Figure 3b). The samples collected from the wells under the oil body and nearest to the source exhibit

the lowest HIX values whereas those 328 m downgradient are the highest (Table S1). Directly beneath the center of the oil body, the HIX value is 0.6. This value increases to 1.1 approximately 56 m downgradient and then increases further to 1.6 for the DOM<sub>HC</sub> in the center of the plume  $\sim 100$  m downgradient. Within the next 100 m (100-200 m downgradient from the center of the oil body), the HIX values increase steeply along this distance to a value greater than 4. The sharp onset of humification is consistent with  $\text{DOM}_{\text{HC}}$ leaving the anoxic groundwater zone (75 m from the center of the oil body), moving through the transition zone extending  $\sim$ 125 m downgradient, to the oxic zone in the aquifer (Figure 3).<sup>47,68</sup> The transition from an anaerobic to aerobic degradation process increases the mineralization rate of relatively aliphatic DOM<sub>HC</sub>, reflected by the increasing HIX values downgradient from the oil body.

PARAFAC Component 1 (C1) is the most blue-shifted component in this study with excitation maxima at <250 and 280 nm and emission maximum at 306 nm. Historically, this component was described as tyrosine-like, one of two components that typically comprise the protein-like region of fluorescence.<sup>69,70</sup> Component 2 (C2) is slightly red-shifted relative to C1 with excitation maxima at <250 and 285 nm and emission maximum at 375 nm. An identical component has been described in samples collected from the Amazon River Basin<sup>71</sup> and C2 is likely composed of compounds with low MW, relatively high aromaticity (H/C < 1), and high O/C. Each spectrum for components 3 (C3), 4 (C4) and 6 (C6) are relatively red-shifted, corresponding with different humic/fulvic acid-like signatures. The excitation maxima at <250 and 305 nm and emission maximum at 437 nm measured for C3 is interpreted as humic-like Peak A, a region associated with relatively aromatic, high MW compounds.<sup>72–75</sup> The spectrum for C4 with excitation maximum at 305 nm and emission maximum at 416 nm is similar to the microbial-derived humic Peak M, characterized as relatively aliphatic, low MW DOM.<sup>13,76,77</sup> Component 6 has excitation maxima at 265 and 365 nm and emission maximum at 474 nm. This UV and visible (Peak A, C) region is ubiquitous in freshwater ecosystems and is likely a signature of highly degraded, aromatic DOM.<sup>13,29,72,7</sup> The component termed C5 is slightly red-shifted from C1 with an excitation maximum at 275 nm and emission maximum at 325 nm. The common term for fluorescence in this region is tryptophan-like and collectively with C1 (tyrosine-like), comprises the region associated with protein-like DOM.<sup>37,79,80</sup> These components are noteworthy because they are thought to be derived from aromatic amino acids that have been shown to represent biolabile or semibiolabile pools of DOM.<sup>81,82</sup> In addition to matching tryptophan-like components in the OpenFluor database, C5 also matches components that have been associated with dissolved polynuclear aromatic hydrocarbons (PAHs).<sup>83</sup>

The contour plots (Figure 3c–g) show the percent relative contributions of C1–C2 and C4–C6 as a function of distance from the center of the oil body. Component 3 is not included in the figure because it is similar to C6 in composition and behavior in the system (C3 vs C6  $R^2$  = 0.91) (Table S1; Figure 3, S2). With respect to the contour plots for the humic/fulvic acid-like components (C3, C4, and C6) each of these components exhibits its minimum value in the DOM<sub>HC</sub> collected from wells beneath and adjacent to the source (Figure 3; Table S1). The value of C2, the component associated with compounds with low MW, relatively high

aromaticity (H/C < 1), and high O/C, ranges from 34 to 45%beneath the oil body (Table S1) and ~46% in the DOM<sub>HC</sub> collected adjacent to the oil body (Figure 3d). The value for this component remains relatively constant along the  $\text{DOM}_{\text{HC}}$ plume transect, an indication that this component may represent a biorefractory component of  $\text{DOM}_{\text{HC}}$  that can be used as a tracer (Figure 3d; Figure S2). The relative contribution of C4, the humic-like signature associated with relatively low molecular weight, aliphatic compounds, is  $\sim 5\%$ under/adjacent to the oil body and increases to  $\sim$ 7% at 100 m before reaching a maximum value of  $\sim 11\%$  at 200 m from the center of the oil (Figure 3e; Figure S2). Similar to C3 and C4, the most red-shifted of the humic/fulvic acid-like components (C6) accounts for  $\sim$ 3% of the total contribution under/ adjacent to the source and then reaches its maximum of  $\sim 10\%$ at a distance of 200 m from the center of the oil body (Figure 3g; Figure S2). Although relative contributions of the redshifted humic/fulvic-like components C3 (~33%), C4 (~11%), and C6 ( $\sim$ 10%) increase as a function of distance from the oil body, they are still significantly different from the average values of these three components measured for the DOM in the background wells of ~23%, 28%, and 25%, respectively (Table S1). This result indicates that the  $DOM_{HC}$  retains a unique optical signature during biodegradation.

PARAFAC component C1, characterized as the tyrosine-like fluorophore, exhibits a maximum relative contribution in the  $DOM_{HC}$  collected from the wells directly beneath the oil body (Table S1). Here, the relative contribution of C1 accounts for up to ~16% (Table S1) and ~7% (Figure 3c) beneath and adjacent to the oil body respectively, of the total contribution of the PARAFAC components. The contribution of C1 decreases to  $\sim 2\%$  at a distance of  $\sim 150$  m from the center of the oil body and is almost completely removed at a distance of 200 m (Figure 3c; Figure S2; Table S1). Component C1 is not present at all in the background wells (Table S1), an indication that the DOM with this signature is petroleum-derived at this site. Although the chemical characteristics of C1 are similar to tyrosine, the fluorescence comprising C1 at the spill site is more likely to be (alkyl-substituted) benzene derivatives.<sup>39</sup> These classes of compounds are also biolabile or semibiolabile as reported in past studies  $^{81,82}$  and biological degradation of these compounds would match the observed trends for C1 shown in Figures 3c and Figure S2.

Beneath the oil body, the relative contribution of C5 is at its maximum measured value of 49.4% (Table S1) with a contribution  $\sim$ 19% directly adjacent to the body (Figure 3f). Within a distance of 100 m downgradient, the relative contribution of C5 decreases to ~13% before reaching a minimum value of ~6% at a distance of 250 m downgradient of the oil body (Figure 3f; Figure S2). Unlike C1, C5 is present in the background wells and those farthest away from the oil body, an indication that some of the relative contribution of C5 is from naturally sourced tryptophan-like DOM fluorescence. There is, however, a significant increase in C5 in the  $DOM_{HC}$ collected under and adjacent to the oil body relative to that in the background wells (Table S1) indicating that  $DOM_{HC}$ contributes to the fluorescence in this region. The red shift in excitation and emission maxima of C5 relative to C1 is an indication that these  $\mathrm{DOM}_{\mathrm{HC}}$  compounds may be similar to (alkyl-substituted) naphthalene derivatives.<sup>39</sup> Similar to C1 and previous reports for protein-like fluorescence,<sup>80</sup> the decrease in the relative contribution of C5 to near background levels shows

that the  $\text{DOM}_{\text{HC}}$  attributed to C5 is composed of biolabile or semibiolabile compounds.

A principal component analysis (PCA) plot that includes values for C1–C6, HIX, and  $a_{254}$  for all the wells including background sites listed in Table S1 provides a composite visualization of natural attenuation of DOM<sub>HC</sub> originating from the north oil body at the Bemidji research site (Figure 1). The most important feature of this plot is that all of the loadings are from optical measurements. The largest percentage of the variance is in PCA 1 (61.3%) and the main drivers of PCA 1 are CDOM<sub>HC</sub> (e.g., Figure 2b), blue-shifted (C1 and C5) and redshifted (C4 and C6) PARAFAC components. The darkest shaded markers depict wells directly under the oil body, the lightest are those farthest downgradient from the center of the oil body, the red markers represent the background wells.

Blue-shifted fluorescence signatures (C1 and C5) dominate the DOM<sub>HC</sub> produced directly beneath and adjacent to the oil body. Moreover, absorbance at 254 nm indicates that the highest DOC<sub>HC</sub> concentrations are directly under the oil body and that natural attenuation of the  $\text{DOM}_{\text{HC}}$  occurs as it migrates downgradient from the oil body with the groundwater as evidenced by the clear compositional trend toward relatively aromatic, oxygenated red-shifted fluorescence (C4 and C6) similar to the DOM measured in the background wells (Figure 1).<sup>8,84–88</sup> It is noteworthy that wells can be identified that are outside or on the edge of the main contamination plume (533C and 531C) in the PCA plot (Figure 1). Although the water from wells 533C and 531C have optical signatures consistent with  $\text{DOM}_{\text{HC}}$  (Table S1), the composition of  $\text{DOM}_{\text{HC}}$  in these wells suggest that they are on the outer edge of the plume where attenuation rates are higher due the presence of oxygen. Moreover, the PCA plot shows that although the degradation of  $\text{DOM}_{\text{HC}}$  is prevalent from natural attenuation and the general trend is toward the composition of the DOM in the background wells, it not completely attenuated when examining optical parameters. Dissolved compounds that partition into the water beneath the oil such as those that result in the increase in the relative contribution of C2 (>25%) persist across the entire plume transect (Figure S2; Table S1). This result suggests that the Unnamed Lake located 325 m downgradient of the north pool oil body is receiving a supply of DOM<sub>HC</sub> that retains compositional similarities of the petroleum source from which it was produced.

The plots depicted in Figure S2 show how the relative contribution of each PARAFAC component changes as a function of distance from the center of the oil body and highlight the compositional "transformations" in the collective  $\rm DOM_{HC}$  pool because of biodegradation. Although the apparent transformation in the composition of the  $\rm DOM_{HC}$  pool is a result of selective preservation, these data provide evidence of a biodegradation continuum (Figures 1; 2 and S2). Utilization of optical spectroscopy to define and study this continuum provides a method to monitor biodegradation of  $\rm DOM_{HC}$ , especially in contaminated groundwater systems. These concepts may also be applied for examining other emerging contaminants in the environment.<sup>89</sup>

**3.3. Acute Toxicity.** A subset of the wells sampled along the transect were screened for acute toxicity. Examination of this toxicity data as a function of distance from the center of the oil body (Figure 3h) shows that the wells adjacent to the oil body had highest toxicity. The maximum toxicity (51% bioluminescence inhibition) was measured at well 533E (34 m from the center of the oil body; Table S1). The

bioluminescence inhibition rapidly decreased to zero (i.e., no acute toxicity) from 34 to 100 m from the center of the oil body. Bioluminescence values less than zero are a considered to be a result of stimulation of bacterial metabolic activity (Table S1). Stimulation (relative to control) may have been a result of substantial reduction or removal of chemicals responsible for acute toxicity. Such reductions could have led to hormetic effects (positive effects at very low chemical concentrations have been described<sup>90</sup>), and/or once acute toxicity was removed, stimulatory effects of other less toxic chemicals/ nutrients could have been detected. Alternatively, stimulatory effects may have been caused by chemical-induced uncoupling of a proton gradient and associated increases in enzyme system associated with light production.<sup>91</sup>

The addition of toxicity as a loading into a PCA plot with optical measurements shows that toxicity clusters with C1, C2, and  $a_{254}$  (Figure S3). Relationships between all of the optical parameters and Microtox results were examined by linear regression analysis to determine if any of them can be used for identifying potentially toxic DOM<sub>HC</sub> in the field. Both  $a_{254}$  and C5 were positively correlated with acute toxicity (indicated by bioluminescence inhibition), whereas HIX, C3, C4, and C6 are negatively correlated with acute toxicity ( $R^2 < 0.5$ ). Conversely, C1 and C2 exhibit no correlation with acute toxicity ( $R^2 < 0.3$ ). C5 was found to exhibit a positive relationship with toxicity values >0 ( $R^2 = 0.60$ ) and a discrete value (14% relative contribution) that separated inhibition and stimulation in the Microtox results (Figure 4). These data were modeled with a 4-



**Figure 4.** Relative contribution of PARAFAC component 5 (C5) vs percent bioluminescence inhibition (top). Ratio of raw fluorescence of Peaks T and A vs percent bioluminescence inhibition (bottom).

parameter logistic regression equation to further investigate the relationship between C5 and acute toxicity (Figure 4). This model provides a  $R^2 = 0.92$  and shows a division between inhibition and stimulation results occurs at a relative contribution value of 14% for C5 (Figure 4). The correlation between C5 and Microtox values shows that optical spectros-copy, combined with rapid acute toxicity assessment (i.e.,

Microtox) has the potential as a screening tool for identification of potentially toxic  $DOM_{HC}$  at contaminated sites.

Microtox measurements were not completed on any of the water samples collected directly under the oil body (604A, 306, 421B, and 534A) and two wells downgradient from the oil body (9316C and 1217C) (Table S1). However, the four wells under the oil body each exhibit relative contributions of C5 > 14% and the 2 wells >150 m downgradient from the oil body are <14% C5. The relationship between the relative contribution of C5 and the Microtox analyses leads us to predict that the water collected from directly under the oil body is acutely toxic, whereas the wells downgradient are not acutely toxic. These results from the Bemidji north oil pool suggests that optical parameters such as tracing fluorophores may offer a robust tool for screening potentially toxic waters impacted by oil spills.

Although PARAFAC is a useful tool for assessing changes in the composition of the DOM<sub>HC</sub> pool and determining relationships between composition and acute toxicity, it is relatively complicated to implement. However, the information obtained by PARAFAC analysis informs us that C5, the component that shows a strong positive correlation with acute toxicity, is similar to "tryptophan-like" fluorescence. Tryptophan-like fluorescence, commonly referred to as "Peak T", has Ex./Em. maxima at 290/350 nm. Rapid screening methods can be implemented by using the ratio of raw fluorescence of Peak T and one of the ubiquitous humic/fulvic-like fluorescence signatures such as "Peak A" (Ex./Em. 250/450 nm) (Figure 4). Figure 4 shows a positive correlation between the ratio of Peaks A:C and acute toxicity  $(R^2 = 0.84)$  and portable spectrophotometers are becoming increasing available that can measure these fluorescence signatures highlighting the potential for future studies to assess real-time toxicity for oil spills.<sup>62,92,93</sup>

## ASSOCIATED CONTENT

#### **S** Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.est.8b00016.

Comparison of PARAFAC components, changes in PARAFAC components, PCA plot of optical properties and acute toxicity, well information, DOC, optical values, acute toxicity (PDF)

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#### Notes

The authors declare no competing financial interest.

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