

Soil Organic Matter Characterization by Fourier Transform Ion Cyclotron Resonance Mass Spectrometry (FTICR MS): A Critical Review of Sample Preparation, Analysis, and Data Interpretation

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Cite This: *Environ. Sci. Technol.* 2021, 55, 9637–9656



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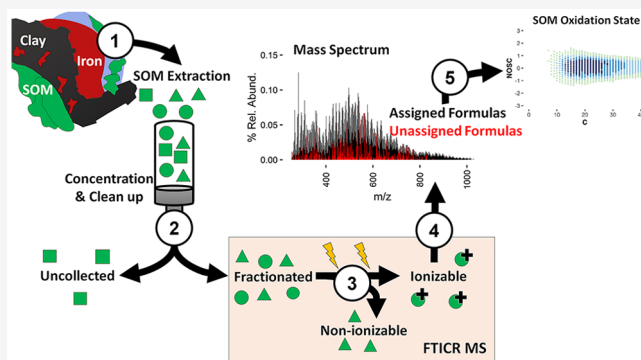
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ABSTRACT: The biogeochemical cycling of soil organic matter (SOM) plays a central role in regulating soil health, water quality, carbon storage, and greenhouse gas emissions. Thus, many studies have been conducted to reveal how anthropogenic and climate variables affect carbon sequestration and nutrient cycling. Among the analytical techniques used to better understand the speciation and transformation of SOM, Fourier transform ion cyclotron resonance mass spectrometry (FTICR MS) is the only technique that has sufficient mass resolving power to separate and accurately assign elemental compositions to individual SOM molecules. The global increase in the application of FTICR MS to address SOM complexity has highlighted the many challenges and opportunities associated with SOM sample preparation, FTICR MS analysis, and mass spectral interpretation. Here, we provide a critical review of recent strategies for SOM characterization by FTICR MS with emphasis on SOM sample collection, preparation, analysis, and data interpretation. Data processing and visualization methods are presented with suggested workflows that detail the considerations needed for the application of molecular information derived from FTICR MS. Finally, we highlight current research gaps, biases, and future directions needed to improve our understanding of organic matter chemistry and cycling within terrestrial ecosystems.

KEYWORDS: Dissolved organic carbon (DOC), mineral-associated organic matter, sorptive fractionation, metabolomics, microbial carbon metabolism, organic nitrogen, organo-metal complexation, carbon sequestration



INTRODUCTION TO FTICR MS FOR ENVIRONMENTAL SCIENTISTS

Soil organic matter (SOM) is the accumulated, decaying debris of biota in the soil. It is both the largest active reservoir of terrestrial organic carbon¹ and fuel for microbial activity and plant nutrient production, “giving life” to soil through its decay.² SOM affects soil structure, moisture retention, ion exchange capacity, and other properties, and it can be studied from many diverse perspectives, from soil health to contaminant transport. Most recently, urgent attention has been given to its relationship to atmospheric CO₂ concentrations and climate change.^{3,4}

The sources of SOM are vast and various, including extracellular compounds like root exudates, enzymes, polymeric substances,^{5,6} decomposition products from above-ground plant litter, root litter, microbial necromass,^{7–10} and abiotic reaction byproducts. The succession of biotic and abiotic reactions and the disparate conditions across both spatial and temporal scales^{11,12} create mixtures with chemical diversity that is far more complex than the original biological source

materials.^{13,14} Recent observations also show that SOM is heterogeneous at high spatial resolution^{15,16} and that physical separations (i.e., water extractable, particulate, and mineral-associated organic matter) reflect the formation, persistence, and function of SOM in the environment better than operational fractions produced by solubilities under different pH conditions (i.e., humic acids, fulvic acids, and humins).^{17,18} A wide range of complementary analytical techniques are required to study such a complex and dynamic mixture when it is heterogeneously distributed among different physical environments at nearly every measurable scale (i.e., nanometer to kilometer).¹⁹

Received: February 17, 2021

Revised: May 21, 2021

Accepted: June 22, 2021

Published: July 7, 2021



The introduction of Fourier transform ion cyclotron resonance mass spectrometry (FTICR MS) analysis established a means to reliably detect and resolve individual SOM molecules when studying its composition, distribution, or transformation. FTICR MS achieves sufficient mass resolving power over a wide mass range (from a hundred to several thousand daltons) to identify a m/z difference of a single electron, where m is ion mass in daltons (Da) and z is the ion charge.²⁰ This resolution is capable of separating the closely spaced m/z peaks that are found in a typical SOM mass spectra (Figure 1).

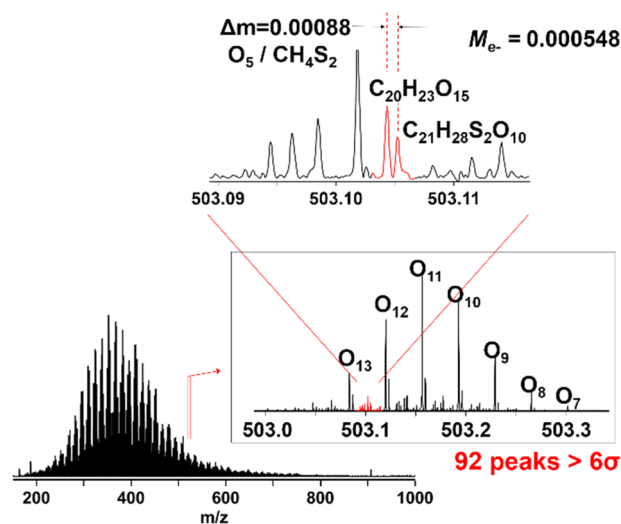


Figure 1. FTICR mass spectra of a SOM extract displaying the resolution needed to differentiate unique peaks. The peaks ($C_{20}H_{23}O_{15}$ and $C_{21}H_{28}S_2O_{10}$) differ by 0.00088 m/z , requiring a resolution of $\sim 600\,000$ (resolution = $m/\Delta m$) to separate and assign. The mass of an electron (M_e^-) is provided as reference. Unpublished data from the National Magnetic Field Lab.

However, biases that originate from sample preparation and the chosen analysis conditions make full characterization of any SOM sample difficult, whatever the mass resolving power of the FTICR MS. Due to the diversity of molecular components that comprise SOM, any extraction method will only target a specific fraction and must be tailored to a specific research question to collect the desired fraction most effectively. Ionization efficiencies vary greatly between molecules of

different chemical composition and sample matrices, which complicates the quantitative comparison of SOM mass spectra. Furthermore, once mass spectra are collected, data analysis requires making assumptions to assign reasonable molecular formula to masses, grouping features by calculated indices, and creating visualizations that reflect chemical differences. Robust interpretation relies on critical assessments of the uncertainties introduced during each of these steps.

Importantly, FTICR MS is not, by itself, able to differentiate between isomers, determine molecular structures, or identify functional groups, meaning that FTICR mass spectra are still simplified representations of SOM.²¹ FTICR MS provides a qualitative view into a subset of SOM compositional space. However, these data can be further examined using LC-MS and other complementary approaches (section 2 of the Supporting Information) to identify and characterize specific isomers of interest and contextualize relationships between peaks and samples.

There has been significant progress in overcoming the challenges of organic matter analysis using FTICR MS,^{22–24} and the user base of FTICR MS analyses is growing rapidly as techniques for SOM analysis become more standardized. Herein, we aim to provide a comprehensive resource to multidisciplinary researchers interested in applying FTICR MS to obtain molecular level insight into SOM chemistry. Workflows for FTICR MS based SOM analysis will be presented from an experimental design perspective, and potential biases from sample extraction, ionization, and formula assignment methods will be highlighted. Data visualization and exploration methods will also be discussed, with suggested usage to avoid misinterpretations. Finally, techniques complementary to FTICR MS for SOM analysis will be presented with their specific strengths to support more robust conclusions about SOM composition, microbial decomposition pathways, and other relevant areas of study (Figure 2).

■ SAMPLING AND EXTRACTION OF SOIL ORGANIC MATTER

SOM sampling methodology depends primarily on the scientific focus of the study and which fraction of SOM will provide the most relevant information. The heterogeneity of SOM, soil surfaces, and microenvironments make a single extraction procedure for all SOM features impossible.^{25,26} SOM has been historically isolated into fractions operationally

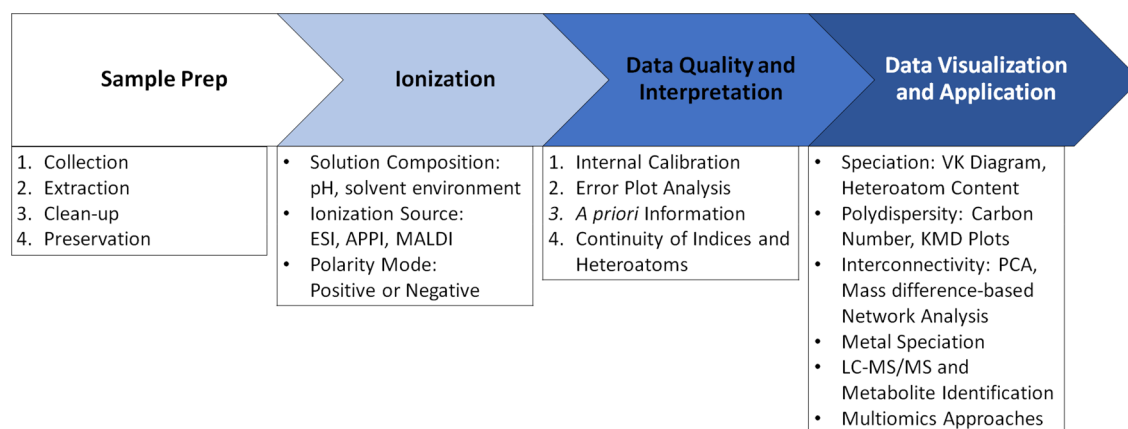


Figure 2. Workflow for analysis involving FTICR MS to provide meaningful conclusions about a set of samples.

studies.^{34,50,67,73–75} Not as well characterized is the potential for SOM alteration by extraction conditions or interactions between the DOM and dissolved minerals.^{75–77} After dissolution, new SOM–mineral interactions can be inhibited by inclusion of an appropriate buffering or stabilizing agent, such as citrate for Fe²⁺, that can complex metal ions and prevent re-adsorption or aggregation of extracted SOM.^{76,78,79} All mineral extraction will require a cleanup process to remove the extracting chemicals and stabilizing agents that can affect ionization, discussed below. Finally, mineral extractions have the potential to extract compounds that overlap with water extractions and should be noted when comparing extraction yields and spectra.^{51,75,80}

Organic Solvent Extraction. Soil organic matter is known to contain hydrophobic domains,⁶³ and laboratory studies have indicated that nonselective sorption of DOM contributes substantially to the overall presence of mineral–organic associations.⁸¹ To study these interactions, it is useful to have an extraction method that targets more hydrophobic domains.

Organic solvents can be more efficient extractors than polar solvents depending on the source material and target fraction (e.g., the hydrophobic domain).⁸² Tfaily et al. showed that extracted H/C and O/C ratios are influenced by solvent polarity by performing a series of parallel extractions, and in a subsequent study showed that low polar or nonpolar solvents detect a greater number of low O/C peaks in FTICR-MS analysis.^{41,83} Furthermore, Mcker and Hatcher⁸⁴ demonstrated that pyridine extractions of lake sediment isolate a larger range of mass spectral and spectroscopic features compared to other organic and aqueous extractions. Organic extractions can also induce alterations to the SOM, such as esterification following methanol and acetonitrile extraction, that should be considered before usage and when comparing between samples prepared differently.⁸⁵ Extraction with organic solvents also dissolves fewer inorganic salts that inhibit SOM ionization compared with aqueous extractions. This is a potentially large benefit as other extractions methods require cleanup that further bias SOM composition.⁴¹

Sequential Extraction. Extractants can also be applied sequentially to soils to selectively collect SOM bound at different degrees of stabilization. This can be very beneficial when comparing the quantity and characteristics of SOM stabilized by the different mechanisms mentioned above. Lopez-Sangil and Rovira⁵⁰ demonstrated this with seven extracting solutions on four soils of varying pH and organic carbon content. Each extractant collected a fraction of SOM, but the majority of SOM was collected with sodium tetraborate or sodium pyrophosphate, indicating that cation bridging or chelating interactions predominated, respectively. Tfaily et al.⁴¹ also showed that sequential extractions with water followed by different organic solvents resulted in an overall increase in peak counts by 2–4-fold. As above, it is possible that extractants overlap in the SOM collected; therefore each extraction should be performed several times to ensure that as much of the target fraction is extracted as possible before continuing with the next extractant. The additional extracts collected will increase preparation time; however a sequential extraction protocol can be tailored to specific research questions based on the mineral–organic associations of interest.

Sample Preservation and Cleanup (for FTICR-MS Analysis). Once a soil sample has been collected, it is

important to perform an extraction as soon as possible or store the sample to minimize biological degradation of SOM.⁸⁶ If extraction soon after sampling is impossible, it is recommended that soil samples be treated as similarly as possible to maintain their comparability, as no storage method can perfectly preserve the *in situ* conditions.^{59,78} Before extraction, soil sample storage by freezing or drying can introduce bias from aggregation of the soil or SOM,^{78,87,88} which can alter the structure of the soil matrix, reducing exposure to or contact with the extracting chemicals,^{78,87,88} and has shown variable carbon yield^{78,88} when compared to “wet” or *in situ* samples.

After extraction, long-term storage of SOM extracts is also preferably avoided to minimize postextraction alteration. SOM stability in the extract should be accounted for, but this is easily addressed by sterile filtration, or immediate processing to prepare the samples for FTICR MS. When the extract is aqueous, freezing can provide long-term SOM stability if the solution cannot be sterile filtered, but SOM aggregation can occur, so analysis before long-term storage is ideal.⁸⁹ The use of acidification or biocides to sterilize sample extracts for storage is also not recommended as there is evidence for alteration of SOM properties.^{90,91}

After extraction, SOM often requires concentration and salt removal to make it suitable for FTICR MS analysis. This process is most commonly performed by solid phase extraction (SPE), which utilizes the difference in polarity between small, highly polar inorganic salts and the less polar SOM for separation.^{92,93} As SPE cartridges use a variety of nonpolar packing material and acidic functional groups are common, retention of the SOM is assisted by adjusting the extract to around pH 2, resulting in protonation of acidic functional groups, decrease in polarity, and improvement of stationary phase binding; however acidification can cause compositional changes in the SOM.^{90,94} Additionally, amino functional groups and N-heterocycles can be protonated at low pH, increasing their polarity and preference for cation exchange sorbents.⁹⁵

There are a wide variety of SPE cartridges that retain targeted or broad molecular features and must be selected based on the design of the experiment.⁹⁶ The recovery of SOM from this method is highly variable and depends greatly on the cartridge being used, the origin of the SOM, and the elution procedure,^{95,97,98} with recoveries varying between 20% and 90%.^{93,99} Addressing the source of variable yields is challenging as there is often no reliable way to identify whether the retention or elution were incomplete.^{31,93,100}

Alternatives to SPE include ultrafiltration (UF), electro-dialysis (ED), and reverse osmosis (RO). Techniques using membranes (i.e., UF and RO) are susceptible to fouling;^{101–103} however coupling these techniques with SPE can increase carbon recoveries.^{104,105} When coupling SPE with RO or ED methods, Green et al.⁹⁸ reported near 100% carbon recoveries for marine DOM samples. It is unclear how the higher recovery would affect FTICR MS spectra, and the RO/ED setup costs at least twice as much to perform than SPE.⁹⁸ While the combination of methods is still subject to artifacts from procedures in both methods, the improved recovery warrants evaluation for similar performance in extracted SOM.

IONIZATION TECHNIQUES AND MATRIX EFFECTS

Characterization of SOM by any mass spectral technique requires the conversion of nonvolatile analytes from solution to gas phase ions by ionization. All soft ionization techniques are

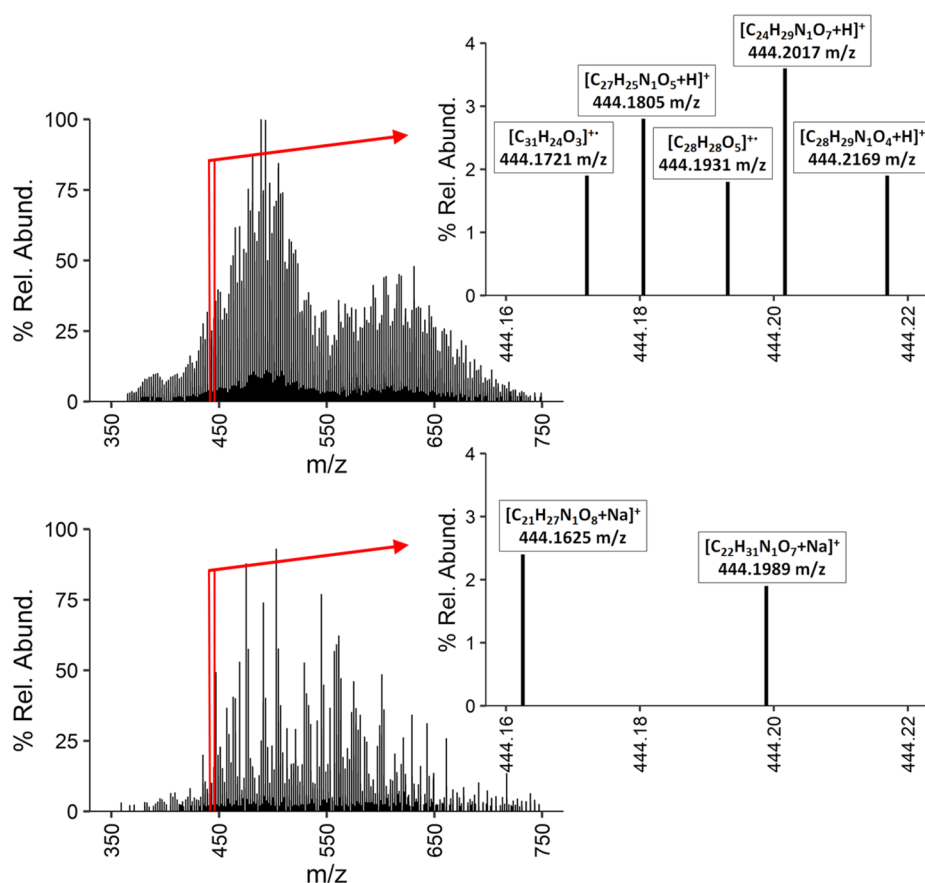


Figure 4. FTICR mass spectra of DOM from Lake Drummond (Great Dismal Swamp National Forest, VA, USA). Samples were prepared using C_{18} solid phase extraction, and spectra were obtained with atmospheric pressure ionization sources: (+)APPI (top) and (+)ESI (bottom). Spectra display peaks assigned with <1 ppm mass error, where insets indicate molecular formulas unique to each ionization source assigned over 444.16–444.22 m/z . Produced with data obtained from Hockaday et al.¹⁰⁷

selective, and since OM contains a wide range of polyfunctional species across a wide molecular weight range and range of ionization potentials, ionization efficiency is determined based on the analyte composition. Different ionization mechanisms preferentially ionize certain components of OM (i.e., functional groups), enhancing their signal and suppressing the signal from other ions, since ionization efficiency depends on acidity or basicity, hydrophobicity, molecular weight, and degree of conjugation. Thus, experimental design begins with a consideration of the selectivity of each ionization technique including the potential need for using multiple techniques for a better understanding of the formulas and features present.^{106–108}

Electrospray Ionization. Electrospray ionization (ESI) is the most¹⁰⁹ routinely applied ionization technique for WEOM components. Ionization is achieved by infusing the analyte (e.g., OM) solution through a positively or negatively charged capillary that generates a fine mist of droplets. Solvent removal, often assisted by heating, yields charged molecular ions. This process is one of the softest methods of ionization and during OM analysis is often assumed to produce intact analyte ions, although some analytes may still undergo in-source fragmentation, particularly at high spray voltages.^{110–112}

Ion formation by ESI depends largely on the pK_a of the analyte as well as the ionization polarity (e.g., negative or positive mode). In negative mode, ions are generally formed by deprotonation or formation of adducts with anions such as Cl^- . Negative ionization efficiency generally correlates inversely

with pK_a and the extent of charge delocalization, such as in conjugated and aromatic chemical structures.¹¹³ Common acidic functional groups in SOM such as carboxylic acids and phenolic groups tend to form negative ions. In positive mode, ions form by protonation or adduct formation with cations such as Na^+ , K^+ , NH_4^+ , or metal ions. Many functional groups in SOM such as amines, alcohols, and carbonyls can be protonated to form cations, and their ionization efficiency is largely correlated with basicity (conjugate acid pK_a).¹¹⁴ Thus, positive and negative polarity modes may detect distinct components from a SOM mixture.

Analyte hydrophobicity and molecular size influence ESI efficiency. Hydrophobicity often correlates with higher ionization efficiencies, particularly in aqueous solutions, due to enhanced affinity for the surface of droplets where molecules have a greater probability of being desolvated and charged.¹¹⁵ ESI sensitivity is often also increased when the analyte is already ionized in solution (i.e., acidic conditions for basic analytes and basic conditions for acidic analytes),¹¹⁶ although specific pH effects vary depending on analyte chemistry.^{117,118}

Solvent composition influences the relative solubility and droplet surface affinity for polar and nonpolar components and therefore ionization efficiency.^{115,116} Novotny et al.¹¹⁹ demonstrates that different solvent compositions, including neat solvents, efficiently ionize specific fractions and influence the representativeness of a sample spectra. Solvent mixtures commonly used for ESI include water, methanol, and

acetonitrile and can improve ionization efficiency of target molecules¹¹⁵ and reproducibility of mass spectra¹²⁰ by altering the proportion of organic solvent.

Additives, contaminants, salts, and metals can also enhance or suppress ionization by ESI (i.e., introduce matrix effects) and can also dilute feature signals across multiple adducts, discussed further below. Additives can extend the range of compositional features collected^{121,122} and enhance the ionization of specific molecular features.¹²³ In contrast, there are numerous contaminants that can be introduced accidentally during sample collection and preparation that will suppress SOM ionization. This is especially true for surfactants, which preferentially ionize due to their amphiphilic nature and ionizable head groups (Figure S2).⁸¹ While the bulk SOM characteristics and most ionizable species will likely still be observable, ionization suppression will limit the number of other peaks that can be detected and assigned near the limit of detection. This can dramatically influence heteroatomic assignments and peak count overall; therefore, it is crucial that materials used for sampling, filtration, and processing prior to collection and sample analysis are tested.

The use of micro- or nano-ESI reduces ion suppression from salts and other species and improves the ionization efficiency of compounds with less surface affinity, because droplet sizes are reduced and desolvation is enhanced compared to electrospray at higher flow rates.¹²⁴ However, high proportions of surfactants or other contaminants can still influence the number of SOM peaks that can be detected and assigned because it remains desirable to limit the number of trapped ions during SOM analysis to prevent adverse effects from space charging¹²⁵ (discussed below).

Ionization Techniques for Nonpolar and Water-Insoluble Soil Organic Matter. While ESI remains the most common ionization technique used for OM analysis, atmospheric pressure photoionization (APPI) offers different ionization mechanisms and selectivity. Using APPI extends the analytical window of FTICR MS to less polar analytes, evidenced by direct comparisons with ESI that display ionization of formulas with lower oxygen content and higher carbon unsaturation.^{106,107,126} This will affect heteroatom abundance and peak assignments (Figure 4), as less polar nitrogen-containing compounds can be preferentially ionized using positive ion APPI,¹²⁷ yet sulfur atoms presumed in polar functional groups are diminished.¹⁰⁶ For positive ion APPI, radical cation ($M^{+\bullet}$) and protonated $[M + H]^+$ species are formed based on ionization energies of the analyte with respect to the dopant. For negative APPI, analyte ions differ based on electron affinity and include $M^{-\bullet}$, $[M - H]^-$, $[M - X + O]^-$, and $[M + A]^-$ where A is an anion (typically a halogen) and X is H, Cl, or NO_2 . Developments and mechanistic discussions of APPI have recently been reviewed.¹²⁸

The main benefit of APPI over ESI is the simultaneous formation of gas phase ions from both polar and nonpolar compounds. Other benefits include less suppression from salt and solvent effects as charge acquisition occurs differently than in ESI.¹²⁹ Comparisons of these methods for lipid analysis suggest that APPI is far more sensitive than ESI and is particularly useful for studies focusing on condensed aromatics and hydrocarbons within NOM.¹³⁰ However, the additional ionization mechanisms also increase the number of peaks in APPI compared to ESI,¹⁰⁷ increasing the complexity of the mass spectrum and requiring even higher mass resolving power to separate the increased number of isobaric species. The

amount of sample required for APPI is also generally higher as injection flow rates can be orders of magnitude larger than in ESI.^{106,107,126}

Solid phase samples can also be ionized through desorption techniques, including desorption atmospheric pressure photoionization (DAPPI), laser desorption ionization (LDI), and matrix-assisted laser desorption ionization (MALDI). These techniques allow ordinarily insoluble SOM components to be analyzed directly, such as with the application of DAPPI to pyrolyzed carbon.^{131,132} Similar to APPI, LDI and MALDI have been shown to compliment ESI-FTICR MS by ionizing more conjugated and aromatic DOM constituents.^{133,134} Mechanisms and substrate selection for LDI and MALDI are reviewed by Zenobi et al. and Dreisewerd.^{135,136}

Importantly, while using multiple techniques will provide a larger window into the OM compositions present, it is not feasible to use every technique nor can the use of every technique unequivocally ionize every organic residue present in injected samples. Technique and polarity mode should therefore be chosen based on *a priori* knowledge of the sample and target fraction, as ionization parameters can greatly influence sample representation. Additionally, the intensity and m/z distribution are sensitive to instrumental parameters, meaning FTICR MS is not well suited for resolving controversies regarding the size distribution of SOM.

■ ANALYSIS AND INTERPRETATION OF SOIL ORGANIC MATTER USING FTICR MS

When analyzing natural organic matter (NOM), FTICR MS typically detects and resolves many thousands of peaks in the m/z range from 150 to 1000 m/z . Molecular formula assignment involves calibrating the mass spectra, computing formulas that fit each mass within the applicable mass error window, and choosing an appropriate assignment when there are conflicts using all available information (e.g., elemental content and chemical or structural relationships with other formulas).¹³⁷ Uncertainty in assignments rises dramatically with mass and the number of elements¹³⁸ as the number of possible formulas increases. While automated assignment procedures often select the formula with lowest mass error or smallest number of nonoxygen heteroatoms (i.e., atoms in the ring of a cyclic compound other than carbon or hydrogen atoms), these approaches can sometimes yield incorrect results.¹³⁹ Accordingly, various methods, including m/z vs error¹⁴⁰ and DBE minus O plots,¹³⁹ can be used to further evaluate dubious or conflicting formula assignments.¹⁴¹ Following formula assignment, several assumptions will be present in data visualizations and should be carefully considered to avoid misinterpretations. Additional methods, both online and offline to FTICR MS, will be discussed that can provide additional insights into SOM composition, microbial decomposition pathways, and other relevant areas of study.

Mass Calibration. Molecular formula assignment relies on mass error windows, so mass calibration is a critical part of the formula assignment process.¹⁴² External calibration cannot provide better mass accuracy than a few parts per million because the number of ions in the ICR cell varies from sample to sample.¹⁴³ As a result, complex organic mixture FTICR mass spectra benefit from internal calibration, wherein the ion cyclotron resonance frequency (f) is converted to ion mass-to-charge ratio (m/z) by fitting experimental data spanning the entire detected m/z range (e.g., multiple homologous series) to

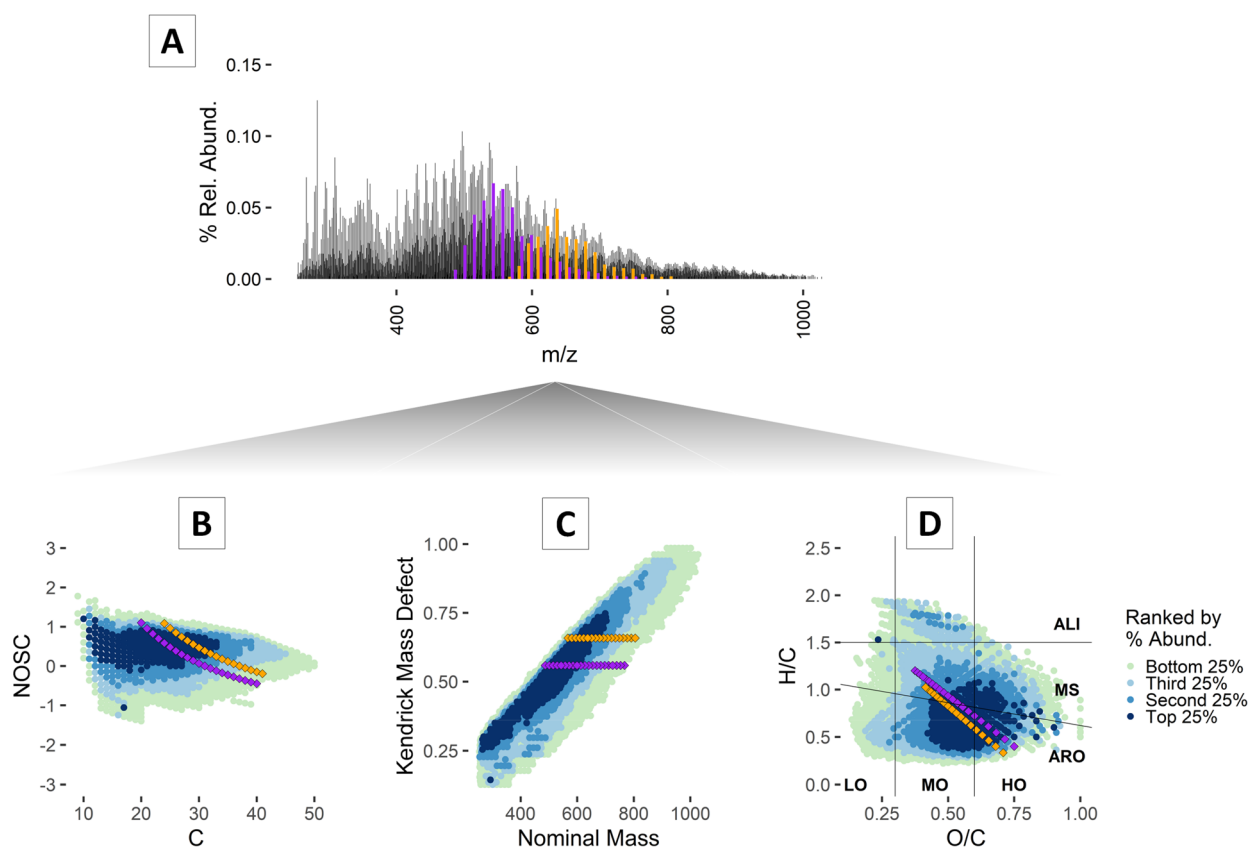


Figure 5. 21T FTICR mass spectrum of Pahokee Peat extracted with pyrophosphate with formula assignment conducted using PetroOrg software¹⁵³ to assign 15506 molecular formulas. Two series are highlighted (orange and purple) that represent CH₂ Kendrick mass defect (KMD) series (0.55857 and 0.65806) and are present in each of the plots to illustrate their appearance and patterns through different visualizations: (A) mass spectra displaying semigaussian abundance distributions, (B) nominal oxidation state carbon (NOSC),¹⁶³ (C) CH₂ KMD series over the entire mass spectrum, and (D) van Krevelen diagram in which sections plot regions indicating oxygen content (LO = low-oxygen, MO = mid-oxygen, HO = high-oxygen content) and degree of saturation (ARO = aromatic,¹⁶² MS = midsaturated, and ALI = aliphatic¹⁸²). Formulas are colored based on abundance quartiles. Unpublished data from the Borch Lab.

the relation $m/z = A/f + B/f^2$ to yield root-mean-square mass error as low as $\sim 10\text{--}200$ ppb.¹⁴⁴

Savory et al. developed a calibration protocol in which the spectrum is divided into dozens of adjoining segments, with separate calibrations applied to each, to eliminate systematic error with respect to m/z , and introduced a third calibration term to minimize systematic error with respect to ion abundance.¹⁴⁰ In addition, Dittmar et al. recently reported improved mass accuracy by averaging mass spectral data from independent environmental samples and increased mass precision through peak alignment during post-detection data processing.¹⁴⁵

The minimum resolution requirements to adequately analyze a sample composition will change depending on signal-to-noise (S/N) thresholds, the dynamic range of the instrument measurements, digital resolution, and isotopic fine structure.¹⁴⁶ Ideally, the instrument and resolving power should be considered based on *a priori* information as additional constraints, such as inclusion or exclusion of specific heteroatoms, which can substantially change the minimum resolving power required.²² Increasing magnetic field strength will increase mass resolution, dynamic range, and peak noncoalescence.^{20,147} Increasing the time-domain acquisition period will also increase resolution; however if sample signal magnitude is low (i.e., sample ions are very low in abundance), instrument noise can also erroneously be assigned.²⁰ Increasing

ion accumulation times can increase sensitivity; however high ion densities in the ICR cell can produce space charge effects that coalesce peaks or alter ion frequencies, adversely affecting both formula assignment and mass accuracy.^{20,125,148,149} The limited ion capacity during FTICR MS measurement also means that intensity and m/z distributions are sensitive to instrumental parameters. Molecular weight distributions are better determined in low resolution MS systems like linear ion trap and time-of-flight that also capture lower molecular weights (<200 m/z) efficiently.^{150,151} Thresholds for S/N will also strongly affect peak detection and reproducibility. Discussions by Sleighter et al.¹⁵² indicate how S/N affects minimum common peak assignments and describe methods to evaluate meaningful differences between samples.

Automated Molecular Formula Assignment. There are a range of tools available for automated molecular formula assignment, including in-house programs (PetroOrg/EnviroOrg at the National High Magnetic Field Laboratory),¹⁵³ open-source programs (Formularity, UltraMassExplorer, and ICBM-OCEAN), and publicly available R or Matlab scripts (MFassignR, CIA, and TRFu).^{137,154,155} The Compound Identification Algorithm (CIA) developed by Kujawinski et al. computes elemental compositions for low molecular weight compounds (<500 m/z), where the potential for conflicting solutions is minimal,¹⁵⁶ and uses a “formula extension” approach to connect the assigned formulas with higher

molecular weight compounds via established chemical and functional group relationships (e.g., CH_2 , $\text{CH}_4 - \text{O}$, H_2 , CO_2 and NH). The existence of these relationships has also been separately established by mass difference and network analyses, discussed in section 3 of the [Supporting Information](#).^{157,158} Indeed, some version of formula extension is used in almost every formula assignment algorithm. The CIA algorithm has been incorporated into the freely available Formularity software platform, which includes an associated database and formula filters based on the “Seven Golden Rules”.¹⁵⁹ The CIA component is restricted to C, H, N, O, S, and P, but a separate isotopic pattern algorithm (IPA) is available to identify other elements, particularly when they present a strong isotopic signature (e.g., Br, Cl, or Hg).¹⁶⁰

ICBM-OCEAN is a server-based tool that integrates published and novel approaches for standardized processing of ultrahigh resolution mass spectrometry data from complex molecular mixtures.¹⁶¹ Importantly, ICBM-OCEAN incorporates diagnostic and validation tools for each step in data processing, including noise and systematic error reduction and spectra recalibration and alignment, and it has been tested and applied to both FTICR MS and Orbitrap MS for more than 1000 NOM samples.

After automated formula assignment, equivocal assignments (i.e., peaks above S/N with multiple feasible compositions) will generally remain. Molecular formula assignment for equivocal assignments can be highly subjective; therefore different calculated indices and empirical evidence should be used to increase the reliability of manually distinguished assignments wherever applicable. For instance, the selection of heteroatoms during assignment can dramatically alter the number of equivocal assignments ([Figure S3](#)); however prematurely excluding elements can also result in erroneous assignments.²¹ Kendrick mass defect analysis can be used to study patterns in OM assignments, discussed below. Double-bond-equivalents-minus-O (DBE-O) plots are also used that compare equivocal and unequivocal assignments using heteroatoms and oxygen content ([Figure S4](#)).¹³⁸

Visualization and Data Analysis. Once elemental compositions have been assigned, several parameters can be calculated using the stoichiometry of the assigned molecular formulas. These include rings-plus-double-bonds equivalents (RDBE), aromaticity indices (AI_{mod}),^{159,162} and the average nominal carbon oxidation state (NOSC) ([Figure SB](#)).¹⁶³ NOSC has been used to examine chlorinated disinfection byproduct formation,¹⁶⁴ water solubility,¹⁶⁵ and the biogeochemical reactivity of SOM.¹⁶⁶ Indeed, NOSC makes it possible to calculate the Gibbs free energy (ΔG) provided by the oxidation of a particular compound ($\Delta G_{\text{Cox}}^\circ$) given the available electron acceptors and environmental conditions.^{167–169} Thus, NOSC and $\Delta G_{\text{Cox}}^\circ$ have been used to provide a thermodynamically relevant metric for approximating the energy stored in organic matter or whether microbial oxidation of OM is thermodynamically favorable under a given set of redox conditions.¹⁷⁰

Kendrick mass defect (KMD) analysis¹⁷¹ was initially adopted by the organic matter community as the standard means for visualizing complex mass spectra prior to formula assignment.^{172–176} Kendrick plots are generated by plotting nominal mass as a function of KMD, most commonly based on a methylene (CH_2) subunit ([Figure 5C](#)). In effect, each m/z is normalized by the accurate mass of the subunit (e.g., 14/14.01565 for CH_2 subunits, common to lipid ([Figure S1](#)),

sugar, and lignin derivatives¹⁷⁷). Afterward, compounds whose elemental compositions only differ by the number of subunits possess the same KMD value and line up horizontally in the associated KMD plot. KMD analysis on SOM is useful for (i) molecular formula assignment by assisting in assigning molecular formulas at higher m/z ions when there are more formulas that match an exact m/z value, (ii) identifying multiply charged ions, and (iii) multiply charged polymer ions of plant origin in soils.^{178–181}

Van Krevelen (VK) diagrams¹⁸³ are constructed with assigned formulas using molar ratios of hydrogen-to-carbon (H/C) on the y-axis and oxygen-to-carbon (O/C) on the x-axis ([Figure 5D](#)). Points can be colored or a z-axis can be added to provide additional information such as relative abundances, molecular weights, or elemental classes (e.g., CHO vs CHNO). VK plots are widely used to make inferences about predominant reaction pathways (e.g., methylation, hydrogenation, condensation) and to estimate the abundance of major compound categories based on the major biogeochemical components of the source material (e.g., lignin-like, lipid-like, or carbohydrate-like), which have characteristic H/C versus O/C ratios.^{184–186} However, the boundaries of the compound classes vary among published studies, and often overlap. Moreover, “lignin-like” components may be derived from nonlignin source materials¹⁸⁷ or even reflect synthetic chemicals if anthropogenic impacts are present ([Figure S5](#)), and lignin sources may generate some non-“lignin-like” signatures.¹⁸⁸ Accordingly, while the major compound categories do signify molar ratios similar to well-known biogeochemical classes, they are not reliable indicators of source or structure without additional, complementary information (e.g., on the prevalent reaction pathways,¹⁸⁴ section 2 of the [Supporting Information](#)). Recent revisions proposed by Rivas-Ubach et al.¹⁸⁹ improve compound classification in biological samples using C/H/O/N/P stoichiometric ratios but were only tested on different metabolite compositions and omitted “lignin-like” and “condensed hydrocarbon” compounds that could be a major component of soil organic matter.

Regardless of the VK plot limitations, this tool can still provide useful insights into C cycling and changes in soil organic matter composition with perturbation. Users are advised to use O/C and H/C boundaries that are consistent with the chemistry of their sample and carefully interpret their results while acknowledging that these classifications are only putative. Relative abundance values of different compound classes can also be calculated from count values associated with each observed biomolecule group normalized by the total number of C molecules identified (i.e., number-weighted).^{190,191} When comparing multiple samples in side-by-side comparisons or combined analyses, it is important to ensure that systematic biases (e.g., ion suppression or differences in SPE extraction efficiency) are minimized or eliminated, particularly because many SOM constituents are detected at signal intensities close to the detection limit. Molecular weight distribution, heteroatom content, constituent presence or absence, and VKD coverage can all be influenced by these low-intensity signals. Past approaches to eliminate systematic bias include extracting the same number of the most intense signals from every sample¹⁹² or the formulas common to every sample^{81,193} and then normalizing the extracted signals based on total intensity.

Other visualizations are common in the petroleum and aerosol field for rapid visual comparisons to highlight compositional differences or similarities between samples and can be beneficial to SOM studies.^{163,194–196} These include (i) plotting the number of carbons in each formula versus its nominal mass (C versus M), where the molecules are classified into different categories based on their sum of carbon and oxygen atoms ($i = C + O$), (ii) contoured plots of RDBE vs carbon number¹⁹⁷ for members of a single heteroatom class, (iii) relative abundance histograms for heteroatom classes, and (iv) Kroll diagrams where NOSC is plotted as a function of number of carbons (Figure 5B).¹⁹³ Kroll diagrams are especially useful when looking at changes in SOM composition under different redox¹⁹⁸ conditions.

When comparing multiple samples, such as DOM from different sources, principle component analysis (PCA)^{193,199} and hierarchical clustering analysis (HCA)²⁰⁰ can be used to group similar samples. PCA takes advantage of the fact that many variables are correlated (e.g., H/C ratios and double bond equivalents) and produces diagrams that group similar samples and show correlations among variables. In one example, PCA was used with intensity-weighted element number, m/z , H/C, O/C, Al_{mod} , and DBE values to compare the DOM in ten world rivers, associating the intensity-weighted variables with different levels of anthropogenic influence and other watershed characteristics (Figure 6).¹⁹⁹

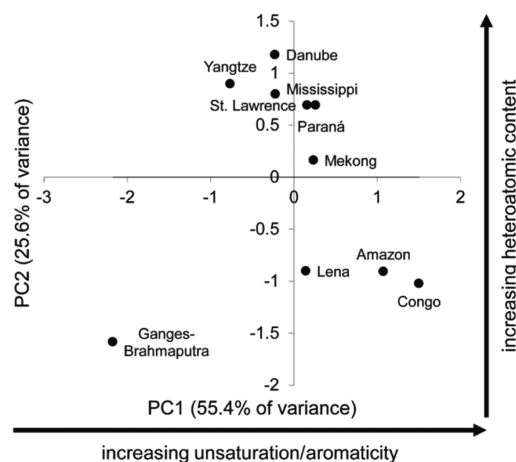


Figure 6. Ten rivers around the world were evaluated using FTICR MS and compared with PCA to identify sample groupings. The largest variance originated from the unsaturation/aromaticity and heteroatom content. Reproduced from Wagner et al.¹⁹⁹

PCA is particularly useful for visualizing the relative similarities and differences of multiple samples and for identifying the variables that best explain their differences. Importantly, compositional data, such as the %CHO of a DOM sample, are generally not appropriate for PCA.²⁰¹ In comparison, HCA uses Bray–Curtis dissimilarity or another distance calculation to perform a series of partitioning calculations that group samples into clusters.^{100,202,203} The result is a tree-like dendrogram, where common branches indicate greater similarity.

Analysis of NOM-Associated Metals. Mineral associated SOM and DOM are known to bind a range of metals and thus control the biogeochemical cycling, mobility, and bioavailability of both toxic metals (e.g., Hg, As, Cd) and essential mineral elements (e.g., Fe, Mn, Zn).^{198,204} FTICR MS is

particularly well suited for elucidating the speciation of metals and micronutrients that are complexed by or incorporated into organic molecules that influence their solubility and reactivity. In soils, these elements are often binned into operationally defined fractions based on extraction protocols^{205,206} that seek to differentiate water-soluble, mineral-associated, or bioavailable content. However, understanding the origin and dynamics of these elemental pools requires knowledge of their chemical identity. FTICR MS can resolve diagnostic isotopologues and mass defects that are characteristic of many heteroatoms, including trace metals (e.g., Fe,^{207–209} Zn, Cu,²¹⁰ Ni, Hg²¹¹) (Figure 7) and halogens (Cl, Br, I²¹²), thus providing a means to identify the particular SOM components that play a role in the cycling of these elements.

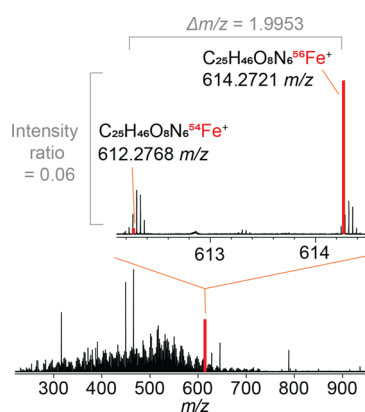


Figure 7. Mass spectra of a water extract of SOM that depicts the isotope pattern for a siderophore–Fe complex, ferrioxamine B. Inset displays the mass and abundance difference indicative of iron complexation. Unpublished data from the Boiteau Lab.

Direct infusion FTICR MS analysis, however, is not well suited to identifying metal-bound molecules in DOM due to the number of peaks that result in unrelated species that mimic mass differences and relative abundances of metals. Furthermore, molecular formula assignments become more ambiguous as heteroatoms are included in the assignment algorithm (Figure S3).²¹ Boiteau et al.²⁰⁷ developed a method for confident detection of metal–organic complexes by comparing direct infusion FTICR MS spectra of samples spiked with both natural and rare isotopes of metals of interest, comparing the ratio of features across samples. This was compared to another method based on separation using online HPLC–FTICR MS and detection of isotopologues with coherent elution profiles. While the isotope exchange method required significantly shorter analysis time, nearly twice as many metal–organic species were measured by HPLC–FTICR MS. This highlights how ion suppression is one of the key challenges in overcoming the detection of metal–organic species in complex mixtures.

Techniques such as liquid chromatography–inductively coupled plasma mass spectrometry (LC–ICPMS) have been used to quantify the various metal species present in DOM samples,²¹⁰ and FTICR MS and other high-resolution mass spectrometry techniques have been used to determine the molecular formulas of these compounds. This approach has been used to identify and quantify chelating agents of biological origin in soil samples and the chemical form of heavy metal or halogenated contaminants in terrestrial environments.²¹¹ Thus, FTICR MS combined with metal

quantification provides insight into the processes that govern the transport, fate, and ecological effects of nutrients and contaminants in soils by providing unprecedented information on elemental speciation.

Fragmentation, Separation, and Metabolomics. While FTICR MS analysis can attribute chemical formulas to molecules within complex SOM mixtures, identifying specific molecular structures such as metabolites or their transformation products requires pairing FTICR MS with chromatographic and fragmentation analyses. Liquid chromatographic separation prior to offline or online FTICR MS has several benefits. The separation of molecules that must otherwise compete for charge reduces the suppression of poorly ionizing molecules, reducing matrix effects and enabling the detection of a greater number of species.^{213–215} In addition, separations can often resolve structural isomers that appear as a single feature with direct infusion FTICR MS (Figure 8).²¹⁶ These structural variants may have distinct

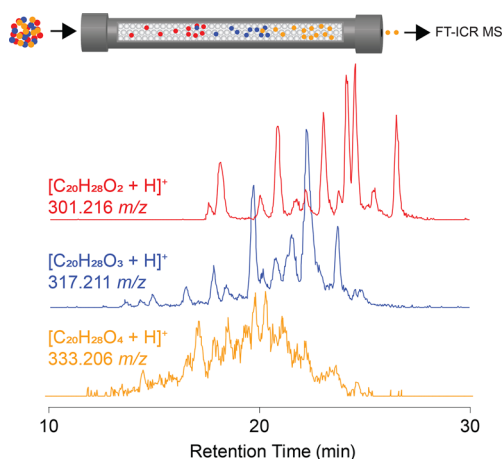


Figure 8. Extracted ion chromatograms from an LC-FTICR MS (21T) of a soil water extract. Mass ranges about each m/z were ± 0.005 Da, where each trace represents the protonated monoisotopic form of the molecular formula indicated. Peaks separated for each formula represent different isomers. Unpublished data from the Boiteau Lab.

sources, functional groups, and fates in soils, and thus distinguishing between isomers by LC-FTICR MS could be important to decipher processes that form, preserve, and degrade SOM. The chromatographic separation modes generally selected for these analyses are aimed at separating low molecular weight molecules within the m/z range of FTICR MS. Reverse phase liquid chromatography is typically applied to organic matter extracts, often mimicking the mode of retention used during solid phase extraction.^{207,213,215–219} Hydrophilic interaction chromatography (HILIC), a variant of normal phase chromatography, is also well suited for the separation of polar components of SOM.^{220,221} Both separation and ionization are strongly impacted by the choice of mobile phase. Methods commonly employ mass spectrometry grade water, alcohols, and acetonitrile along with volatile pH buffers (e.g., formic acid, acetic acid, ammonium formate, ammonium acetate, or ammonium hydroxide) due to their compatibility with ESI MS. The selectivity of different chromatographic methods for separating DOM has recently been reviewed by Sandron et al.²²²

Separation also facilitates the acquisition of tandem MS/MS fragmentation spectra for individual compounds by reducing the number of ions that may appear within a single MS isolation window. Fragment analysis has been used to determine the presence of specific functional groups or structures within DOM and other complex mixtures such as carboxylic acids,²¹⁵ sulfates,²²³ aromatic archipelagos,^{224,225} and metal-binding moieties.²¹⁰ MS/MS spectra provide a diagnostic molecular fingerprint that can be compared to the fragmentation spectra of known molecules to facilitate structural elucidation. Libraries of MS/MS fragmentation spectra collected with collision-induced dissociation are ever-growing,²²⁶ and developing computational tools for predicting spectra of molecular structures *in silico* is an active field of research.^{227–229} Longnecker and Kujawinski²³⁰ coupled LC FTICR MS/MS with *in silico* fragmentation computational tools on *Thalassiosira pseudonana* to study novel intracellular metabolites, finding that they were related through sulfoquinovosyl head groups. Another developing tool is feature-based molecular networking, which links MS/MS spectra by their spectral similarity, permitting the use of precursor masses and structural information from compounds with library matches to help determine the structures of spectrally similar compounds with no library matches.^{231,232} Putative identifications using tools such as *in silico* fragmentation or feature-based molecular networking can be confirmed by comparing retention time and MS/MS spectrum of an authentic compound using the same analytical method used for the soil sample.

Finally, while they play a significant role in microbial growth,^{233–235} essential metabolites with a molecular weight <200 Da such as acetate, pyruvate, amino acids, glucose, fructose, and succinate are typically either outside the optimum mass range of FTICR MS (i.e., 150–1000 m/z) or harder to ionize among matrix effects.⁹⁶ Gas chromatography mass spectrometry (GC-MS),²³⁶ nuclear magnetic resonance (NMR) spectroscopy,^{237,238} and liquid chromatography mass spectrometry (LC-MS)²²¹ are the preferred instrumentations to identify these critical small metabolites and their structure in SOM. Recently, Swenson et al.²³⁶ used GC-MS to estimate microbial metabolite availability in soils, while Dalcin Martins et al.²³⁸ used ¹H NMR to measure acetate and methanol metabolite concentrations using samples from the Prairie Pothole Wetlands in North America. Using LC-MS/MS in positive- and negative-ionization modes, Ladd et al.²²¹ were able to identify a wide range of compounds (e.g., amino acids, plant/microbial metabolites, sugars, lipids) present in arctic soil DOM. Thus, combining multiple analytical techniques can help improve detection and annotation of central and secondary metabolites in SOM important for microbial biogeochemical cycling in soil ecosystems.¹⁶⁹

Coupling mass spectrometry with microbial techniques (e.g., metaproteomics,²³⁹ community composition profiling²³⁸) and novel bioinformatics approaches such as correlation networks and metabolite prediction tools^{240–243} (Figure S6) can also be useful for determining microbial decomposition pathways of OM and gaining insight into relationships between bacterial communities and SOM composition.^{82,240,242,244–246} Using FTICR MS, ¹H NMR, and community composition, Dalcin Martins et al.²³⁸ found that extremely high sulfate reduction rates and methane fluxes in Prairie Pothole Wetlands were driven by abundant carbon substrates. Graham et al.²⁴⁷ also used FTICR MS, gene expression, and community composi-

Table 1. Common Sources of Error or Bias during Sample Preparation and Analysis for FTICR MS with Aspects to Consider and Recommendations

	sources of error or bias	considerations and recommendations
sample collection and preparation	sample uniformity	ensure samples are well mixed when applicable; analyze pooled samples periodically throughout sample batches to monitor instrument stability and sensitivity
	contamination	clean sampling equipment (e.g., combusted glass or medical grade polypropylene) prior to sampling
	extraction methods	using <i>a priori</i> knowledge, prepare polar, nonpolar, and mineral-bound extracts separately to avoid biases in ionization
	extraction conditions	extraction and storage conditions (e.g., pH, temperature, volume of extractant, extraction time) should be consistent across sample sets artifacts (e.g., byproducts formed during extraction or storage) can be introduced from harsh conditions, so conditions should be noted in following spectra and visualizations solvent of choice should be compatible with FTICR MS
data collection and mass spectrometry acquisition modes	ionization method selection	method and mode should target fraction selected during extraction. For bulk SOM, multiple modes provide the largest window into SOM composition
	sample matrix/instrument variability	quality control strategies and samples (e.g., pooled quality control and standard samples run per analysis session) S/N should be carefully considered based on the calibration performed (e.g., not set arbitrarily)
data preprocessing and formula assignment	molecular formula assignment pipeline	heteroatoms included during assignment should be chosen based on <i>a priori</i> information
	formula assignment quality	exercise caution and manually assign and remove known artifacts (e.g., solvent clusters, extraction byproducts) and contaminants (e.g., surfactants, solvent contaminants) in data postprocessing assignments should be supervised with quality control strategies (e.g., error plots, continuity in calculated indices and heteroatoms)
data visualization	data overinterpretation	data visualization approaches will influence the outcome of the results (e.g., grouping by biomolecular class approximations) methods using assigned formulas (e.g., VK diagrams, NOSC vs C) only approximate features and origin; approximations need support from <i>a priori</i> information, experimental design, and complementary analyses formula assignment should not be assumed to represent bulk SOM; only a subset of SOM residues are measured. not all indices are appropriate for use in statistical technique

tion to gain mechanistic insight into hyporheic zone organic matter processing. This multiomics coupled approach revealed a pronounced phenotypic plasticity in the hyporheic zone microbiome with similar microbiome structure, functional potential, and expression across sediments with dissimilar metabolic rates. Diverse nitrogenous metabolites and biochemical transformations as inferred by FTICR MS appeared to be the significant regulatory factor influencing hyporheic zone organic matter processing.

■ FUTURE DIRECTIONS

The strength of FTICR MS as an analytical tool lies in its unrivaled ability to detect individual organic molecules that make up the tremendously complex mixtures found in soils.¹⁴⁴ Despite this, challenges associated with selectivity and the numerous equivocal peak assignments ultimately limit the processing and comparison of samples between studies. The utilization of complementary techniques that reinforce and constrain FTICR MS data is often needed to fully interpret the large and complex FTICR MS data sets. The use of spectroscopy provides highly complementary data on molecular origin or structure²⁴⁸ and is discussed in section 2 of the [Supporting Information](#).

Experimental design and sample preparation are critical steps because data are mostly qualitative and poorly reproducible across laboratories even on similar samples and within the same experiment on the same instru-

ment.^{120,152,249,250} Thus, it is important to ensure standardized protocols that minimize influences on dependent and independent variables; numerous biases and considerations are summarized in [Table 1](#). Several future developments and needs for the application of FTICR MS to SOM are discussed below.

Unified Sample Preparation. The high chemical diversity of organic compounds in SOM means there is no universal technique for complete and comprehensive extraction, and the resultant analysis and discussion should reflect the limitations of the selected technique to avoid data misrepresentation. Comparisons between studies using different preparations are therefore limited as our understanding of how each preparation alters and isolates the SOM content is still developing.^{95,97,98} Characterizing the effects on SOM during preparation would aid in normalizing SOM content against artifacts from preparation, supporting more effective comparisons between data sets. Therefore, a more thorough understanding of the biases introduced during preparation is needed to develop unified sample preparation techniques specific to a target fraction. Standardized protocols for specific sample environments are critical in establishing comparable samples between laboratories and studies.

Automated Internal Calibration. While calibration strategies continue to become more refined in ultrahigh-resolution data, calibration remains dependent on instrument conditions and is subject to user input, which ultimately

contributes to the poor reproducibility across laboratories.¹⁵² Developing automated internal calibration strategies would therefore aid in the consistency and removal of bias during the analysis of FTICR MS samples, such as with the implementation of the ICBM-OCEAN¹⁶¹ protocol; however procedures remain unique to different laboratories. Standardized SOM processing methods are expected to increase sample reproducibility and provide more opportunity for comparison between data sets.

Investigation of Ionization Techniques. Negative ESI remains the most commonly applied ionization polarity and technique for SOM, and other techniques, such as APPI, are capable of extending the range of ionizable features to less polar and aromatic functionalities. These techniques can be coupled to MS/MS systems for fragmentation; however collecting MS/MS spectra free from coisolated precursor fragments has remained a major challenge when fragmenting complex mixtures. Charge acquiring leaving groups such as COO⁻ are abundant during fragmentation of SOM and result in the loss of attributable daughter ions. Structurally informative fragmentation requires the investigation of new solution-based ionization techniques and methods that employ functionality specific ionization. To this end, the development of metal cationization or solvent pH modification methods for specific precursor compounds may provide much higher specificity in the ionizable fraction.

Unified Database Repository. Due to the significant effort required to validate mass spectral features and the large number of features in soil that do not match any fragmentation spectra in databases, comprehensive identification of metabolites in soils remains a major challenge. Therefore, the implementation of a unified database repository using standardized protocols with elemental compositions for SOM data sets worldwide is of great interest for comparisons across temporal and spatial scales in environmental samples. Such repositories could be used to rapidly compare and identify peaks as potential metabolites by linking known and unknown compounds in correlation and network analysis, multiomics techniques, and fragmentation spectra similarity in targeted analysis.²⁵¹ These advances would be critical in conducting statistics using larger data sets, identifying stabilization mechanisms and pathways, and informing multiomics approaches in SOM analysis.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.1c01135>.

Predicted log *P* and p*K*_a values for different biomolecules to demonstrate the variety of features in SOM, extraction methods with example conditions and target fractions, comparison of spectra indicating the effect of surfactant contaminants, the number of formula assignments as heteroatoms are included in assignment protocols, DBE vs O and DBE minus O plots to identify confident formula assignments, peaks plotted in van Krevelen plots with no relation to SOM, correlation networks grouped by pairing metabolomics with FTICR MS, discussion and figures on spectroscopic techniques that complement FTICR MS data and can be applied to SOM analysis, discussion and figure detailing the use of

mass difference-based network analysis using FTICR MS (PDF)

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

A portion of this work was performed at the National High Magnetic Field Laboratory, which is supported by the National Science Foundation Divisions of Chemistry and Materials Research through Cooperative Agreement No. DMR-1644779 and the state of Florida. A portion of the research was also performed using EMSL, a DOE Office of Science User Facility sponsored by the Biological and Environmental Research program. This research was supported, in part, by Grant No. 2018130 from the United States–Israel Binational Science Foundation (BSF), the National Science Foundation under Grant No. (1512670), Department of Energy, Office of Science Biological and Environmental Research Grant, DE-SC0021349, and by SBR Project DE-SC0020205. We would like to acknowledge Dr. William Hockaday for their correspondence and usage of data for Figure 4. Any use of trade, product, or firm names in the publications is for descriptive purposes only and does not imply endorsement by the U.S. Government.

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